Ronaghi Mostafa Form 4 September 11, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Ronaghi Mostafa

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) ILLUMINA INC [ILMN]

(Check all applicable)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

09/10/2018

Director 10% Owner X\_ Officer (give title \_ Other (specify

Sr VP & CTO

below)

5200 ILLUMINA WAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### SAN DIEGO, CA 92122

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative	Secu	rities Acquii	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securities Acquired (A) tiomr Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	,	
Common Stock	09/10/2018		M	10,000 (1)	A	\$ 37.04	80,805	D	
Common Stock	09/10/2018		S	701 (1)	D	\$ 343.661 (2)	80,104	D	
Common Stock	09/10/2018		S	1,360 (1)	D	\$ 344.611 (3)	78,744	D	
Common Stock	09/10/2018		S	1,294 (1)	D	\$ 345.784 (4)	77,450	D	

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Common Stock	09/10/2018	S	2,415 (1)	D	\$ 346.94 (5)	75,035	D
Common Stock	09/10/2018	S	1,025 (1)	D	\$ 347.839 (6)	74,010	D
Common Stock	09/10/2018	S	3,205 (1)	D	\$ 349.233 <u>(7)</u>	70,805	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionDerivative Expiration Date Code Securities (Month/Day/Year)		e	7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of S
Non-qualified Stock Option (Right to Buy)	\$ 37.04	09/10/2018		M	10,000 (1)	02/27/2010	01/27/2020	Common Stock	10,

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b>Fg</b>	Director	10% Owner	Officer	Other		
Ronaghi Mostafa						
5200 ILLUMINA WAY			Sr VP & CTO			
SAN DIEGO, CA 92122						

# **Signatures**

By: Scott M. Davies for Mostafa Ronaghi 09/11/2018

\*\*Signature of Reporting Person Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.
- Weighted average sale price representing 701 shares sold ranging from \$343.15 to \$344.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (3) Weighted average sale price representing 1,360 shares sold ranging from \$344.18 to \$345.08 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 1,294 shares sold ranging from \$345.31 to \$346.15 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 2,415 shares sold ranging from \$346.38 to \$347.36 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 1,025 shares sold ranging from \$347.38 to \$348.34 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (7) Weighted average sale price representing 3,205 shares sold ranging from \$348.90 to \$349.45 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.