

Smith David Ross
Form 4
January 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Smith David Ross

2. Issuer Name **and** Ticker or Trading
Symbol
CHARLES RIVER
LABORATORIES
INTERNATIONAL INC [CRL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)
Corporate Executive VP & CFO

(Last) (First) (Middle)

251 BALLARDVALE STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
01/02/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

WILMINGTON, MA 01887

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/02/2018		M	16,817 A	\$ 51.45 28,488	D	
Common Stock	01/02/2018		S ⁽¹⁾	90 D	\$ 109.13 28,398	D	
Common Stock	01/02/2018		S ⁽¹⁾	400 D	\$ 109.18 27,998	D	
Common Stock	01/02/2018		S ⁽¹⁾	400 D	\$ 109.25 27,598	D	
Common Stock	01/02/2018		S ⁽¹⁾	10 D	\$ 109.27 27,588	D	

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Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.36	27,488	D
Common Stock	01/02/2018	<u>S(1)</u>	102	D	\$ 109.43	27,386	D
Common Stock	01/02/2018	<u>S(1)</u>	98	D	\$ 109.435	27,288	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.445	27,188	D
Common Stock	01/02/2018	<u>S(1)</u>	300	D	\$ 109.45	26,888	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.465	26,788	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.48	26,688	D
Common Stock	01/02/2018	<u>S(1)</u>	400	D	\$ 109.52	26,288	D
Common Stock	01/02/2018	<u>S(1)</u>	500	D	\$ 109.55	25,788	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.555	25,688	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.56	25,588	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.58	25,488	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.59	25,388	D
Common Stock	01/02/2018	<u>S(1)</u>	90	D	\$ 109.595	25,298	D
Common Stock	01/02/2018	<u>S(1)</u>	10	D	\$ 109.6	25,288	D
Common Stock	01/02/2018	<u>S(1)</u>	200	D	\$ 109.61	25,088	D
Common Stock	01/02/2018	<u>S(1)</u>	200	D	\$ 109.64	24,888	D
Common Stock	01/02/2018	<u>S(1)</u>	200	D	\$ 109.66	24,688	D
Common Stock	01/02/2018	<u>S(1)</u>	100	D	\$ 109.675	24,588	D
Common Stock	01/02/2018	<u>S(1)</u>	479	D	\$ 109.68	24,109	D
	01/02/2018	<u>S(1)</u>	10	D		24,099	D

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Common Stock					\$ 109.685		
Common Stock	01/02/2018	S ⁽¹⁾	97	D	\$ 109.69	24,002	D
Common Stock	01/02/2018	S ⁽¹⁾	100	D	\$ 109.695	23,902	D
Common Stock	01/02/2018	S ⁽¹⁾	400	D	\$ 109.7	23,502	D
Common Stock	01/02/2018	S ⁽¹⁾	500	D	\$ 109.73	23,002	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 51.45	01/02/2018		M		16,817		05/01/2015	05/01/2021	Common Stock	16,817

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Smith David Ross 251 BALLARDVALE STREET WILMINGTON, MA 01887			Corporate Executive VP & CFO	

Signatures

/s/ David R.
Smith

01/02/2018

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale occurred pursuant to a 10b5-1 Trading Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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