MVB FINANCIAL CORP

Form 5

February 08, 2017

FORM 5

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0362 January 31,

2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Expires:

may continue. *See* Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Ad BROOKS ST	*	rting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			MVB FINANCIAL CORP [MVBF]	(Check all applicable)			
(Last) (First)		(Middle)	3. Statement for Issuer's Fiscal Year Ended				
			(Month/Day/Year)	X Director 10% Owner			
			12/31/2016	Officer (give title Other (specify			
301 VIRGINIA AVENUE		Έ		below) below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Reporting			
			Filed(Month/Day/Year)				
				(check applicable line)			

FAIRMONT, WVÂ 26554

X Form Filed by One Reporting Person ____ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tab	le I - Non-Der	ivative Se	curiti	es Acquir	ed, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi (A) or D (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/15/2016	Â	J <u>(1)</u>	39	A	\$ 12.89	40,178	D	Â
Common Stock	09/21/2016	Â	<u>J(1)</u>	38	A	\$ 13.08	40,216	D	Â
Common Stock	12/15/2016	Â	<u>J(1)</u>	39	A	\$ 12.96	40,255	D	Â
Common Stock	06/15/2016	Â	J <u>(1)</u>	2	A	\$ 12.89	1,404	I	Owned By Spouse (2)
	09/21/2016	Â	<u>J(1)</u>	2	A		1,406	I	

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Common Stock						\$ 13.08			Owned By Spouse (2)
Common Stock	12/15/2016	Â	J <u>(1)</u>	3	A	\$ 12.96	1,409	I	Owned By Spouse (2)
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.				•			ection of inf	ormation	SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

the form displays a currently valid OMB control number.

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BROOKS STEPHEN R 301 VIRGINIA AVENUE FAIRMONT, WV 26554	ÂX	Â	Â	Â			

Signatures

/s/ Lisa Wanstreet 02/08/2017 McCormick

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) shares issued pursuant to a Dividend Reinvestment Plan
- (2) Owned by Kathy Brooks

Â

Remarks:

Reporting Owners 2

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Son, William Brooks is of age and no longer resides with his father, Stephen Brooks. Â He is be Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.