

CHARLES RIVER LABORATORIES INTERNATIONAL INC

Form 3

October 25, 2016

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Barbo William D

(Last) (First) (Middle)

251 BALLARDVALE STREET

(Street)

WILMINGTON, MA 01887

(City) (State) (Zip)

2. Date of Event Requiring  
Statement(Month/Day/Year)  
10/18/20163. Issuer Name and Ticker or Trading Symbol  
CHARLES RIVER LABORATORIES  
INTERNATIONAL INC [CRL]4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Corporate Executive VP & CCO5. If Amendment, Date Original  
Filed(Month/Day/Year)6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)Common Stock <sup>(1)</sup>

16,465

D

A

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative  
Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date Exercisable Expiration  
Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)Title Amount or  
Number of4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

				Shares		(I) (Instr. 5)	
Stock Options (Right to Buy)	02/22/2014 <sup>(2)</sup>	02/22/2020	Common Stock	2,293	\$ 40.4	D	Â
Stock Options (Right to Buy)	02/28/2015 <sup>(3)</sup>	02/28/2021	Common Stock	3,650	\$ 59.41	D	Â
Stock Options (Right to Buy)	02/27/2016 <sup>(4)</sup>	02/27/2020	Common Stock	8,631	\$ 76.67	D	Â
Stock Options (Right to Buy)	02/26/2017 <sup>(5)</sup>	02/26/2021	Common Stock	12,060	\$ 73.7	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Barbo William D 251 BALLARDVALE STREET WILMINGTON, MA 01887	Â	Â	Â Corporate Executive VP & CCO	Â

## Signatures

/s/ William D.  
Barbo

10/21/2016

Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Consists of: (a) 9,692 shares of common stock; (b) 2,848 shares of restricted stock which vest as follows: 1,923 shares on 2/22/2017, 462 shares on 2/28/2017 and 463 shares on 2/28/2018; (c) 3,925 unvested restricted stock units (RSUs) which vest as follows: 614 on 2/26/2017, 489 on 2/27/2017, 615 on 2/26/2018, 489 on 2/27/2018, 614 on 2/26/2019, 489 on 2/27/2019 and 615 on 2/26/2020. Each RSU represents a contingent right to receive one share of common stock.
- (2) Stock Options will vest as follows: 2,293 on 2/22/2017.
- (3) Stock Options will vest as follows: 1,825 on 2/28/2017 and 1,825 on 2/28/2018.
- (4) 2,157 stock options have vested as of the reporting date. The remaining 6,474 stock options will vest as follows: 2,158 on 2/27/2017, 2,158 on 2/27/2018 and 2,158 on 2/27/2019.
- (5) Stock Options will vest in four equal installments of 3,015 on 2/26/2017, 2/26/2018, 2/26/2019 and 2/26/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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