Facebook Inc Form 4 August 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0287

January 31, Expires:

2005 Estimated average burden hours per

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

0.5

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

SECURITIES

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * FISCHER DAVID B.

C/O FACEBOOK, INC., 1601

(First)

(Street)

(Middle)

5. Relationship of Reporting Person(s) to

Issuer

Facebook Inc [FB]

3. Date of Earliest Transaction (Month/Day/Year)

08/15/2016

Symbol

(Check all applicable)

Director 10% Owner Other (specify _X__ Officer (give title below)

VP Marketing & Bus. Part.

WILLOW ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MENLO PARK, CA 94025

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativo	e Secu	rities Acquire	ed, Disposed of, o	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	orDisposed (Instr. 3, 4	of (D 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	08/15/2016		Code V	Amount 18,789 (1)	(D)	Price \$ 0	221,687	D	
Class A Common Stock	08/15/2016		M	15,034	A	\$ 0	236,721	D	
Class A Common Stock	08/15/2016		M	6,515	A	\$ 0	243,236	D	
Class A Common	08/15/2016		S	20,250 (2)	D	\$ 124.0623	222,986	D	

Edgar Filing: Facebook Inc - Form 4

Stock					(3)		
Class A Common Stock	08/15/2016	S	800 (2)	D	\$ 124.8675	222,186	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	de Securities Acquired		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
<u>(5)</u>	08/15/2016		M		18,789	<u>(6)</u>	05/02/2022	Class B Common Stock (7)	18,
<u>(7)</u>	08/15/2016		M	18,789		<u>(7)</u>	<u>(7)</u>	Class A Common Stock	18,
<u>(7)</u>	08/15/2016		C		18,789 (8)	<u>(7)</u>	<u>(7)</u>	Class A Common Stock	18,
<u>(9)</u>	08/15/2016		M		15,034	(10)	05/05/2023	Class A Common Stock	15,0
<u>(9)</u>	08/15/2016		M		6,515	(11)	03/16/2024	Class A Common Stock	6,5
	Conversion or Exercise Price of Derivative Security (5) (7) (9)	Conversion or Exercise Price of Derivative Security (Month/Day/Year) (5) 08/15/2016 (7) 08/15/2016 (9) 08/15/2016	Conversion or Exercise Price of Derivative Security (Month/Day/Year) Execution Date, if any (Month/Day/Year) (5) 08/15/2016 (7) 08/15/2016 (9) 08/15/2016	Conversion or Exercise Price of Derivative Security (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) (Month/Day/Year) Code (Instr. 8) **Code V** **Code V** **Code V** **One of Derivative Security* **Code V** **One of Derivative Security* **Code V** **One of Derivative Security* **One of Derivative Security* **Code V** **One of Derivative Security* **One of	Conversion or Exercise Price of Derivative Security (Month/Day/Year) or Exercise Price of Derivative Security (Month/Day/Year) (Month/Day/Year) (Instr. 8) (A) or District (Instr. 8) (D) (Instr. 3, 4) (5) 08/15/2016 M (7) 08/15/2016 M 18,789	Conversion or Exercise Price of Derivative Security (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) Securities Acquired (Instr. 8) (A) or Disposed of (D) (Instr. 3, 4, and 5) ©1 08/15/2016 M 18,789 ©2 08/15/2016 C 18,789 ©2 08/15/2016 M 15,034	Conversion or Exercise Price of Derivative Security (Month/Day/Year) any or Exercise Price of Derivative Security Execution Date, if any or Exercise Price of One of Derivative Security TransactionDerivative Code Securities Acquired (Instr. 8) (A) or Disposed of (Instr. 3, 4, and 5) Expiration Derivative (Month/Day/Year) Expiration Derivative Code (Instr. 8) (A) or Disposed of (Instr. 3, 4, and 5) Date Exercisable (5) 08/15/2016 M 18,789 (6) (7) 08/15/2016 M 18,789 (7) (9) 08/15/2016 M 15,034 (10)	Conversion or Exercise Price of Derivative Security (Month/Day/Year) Price of Derivative Security Execution Date in any (Month/Day/Year) Price of Derivative Security Transactive Code (Instr. 8) (A) or Disposed of (Instr. 3, 4, and 5) Expiration Date (Month/Day/Year) Price of (Instr. 3, 4, and 5) (5) 08/15/2016 M 18,789 (6) 05/02/2022 (7) 08/15/2016 C 18,789 (7) (7) (9) 08/15/2016 M 15,034 (10) 05/05/2023	Conversion or Exercise Price of Derivative Security Code Code Securities Acquired (Instr. 3) (A) or Disposed of Derivative Security Code Securities Acquired (Instr. 3, 4, and 5) Code Code

Edgar Filing: Facebook Inc - Form 4

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FISCHER DAVID B. C/O FACEBOOK, INC. 1601 WILLOW ROAD MENLO PARK, CA 94025

VP Marketing & Bus. Part.

Signatures

/s/ Michael Johnson as attorney-in-fact for David Fischer

08/17/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the number of shares that were acquired upon conversion of Class B Common Stock to Class A Common Stock in connection with the settlement of the Restricted Stock Units ("RSUs") listed in Table II.
- Represents the number of shares required to be sold by the reporting person to cover tax withholding obligations in connection with the vesting of the RSUs listed in Table II. This sale is mandated by the Issuer's election under its equity incentive plans to require the satisfaction of tax withholding obligations to be funded by a "sell to cover" transaction and does not represent a discretionary trade by the reporting person.
- The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$123.71 to \$124.69 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (4) The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$124.75 to \$124.92 per share, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (5) Each RSU represents a contingent right to receive 1 share of the issuer's Class B Common Stock upon settlement.
- (6) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2015, subject to continued service through each vesting date.
- (7) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (8) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.
- (9) Each RSU represents a contingent right to receive 1 share of the issuer's Class A Common Stock upon settlement.
- (10) The RSUs vest as to 1/16th of the total shares quarterly, beginning on November 15, 2014, subject to continued service through each vesting date.
- (11) The RSUs vest as to 1/16th of the total shares quarterly, beginning on February 15, 2016, subject to continued service through each vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3