Facebook Inc Form 4 August 02, 2016

# FORM 4

Form 5

1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

OMB Number:

3235-0287

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and Address of Reporting Person \* Andreessen Marc L

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Facebook Inc [FB]

(Month/Day/Year) 08/02/2016

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

10% Owner \_ Other (specify

HOROWITZ, 2865 SAND HILL

RD., STE. 101

C/O ANDREESSEN

(Last)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MENLO	PARK,	CA	94025

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securit onor Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	07/29/2016		J <u>(1)</u>	V	81,978	D	\$ 0	0	I	By Andreessen Horowitz Fund III, L.P., As Nominee	
Class A Common Stock	07/29/2016		<u>J(1)</u>	V	826	A	\$ 0	826	I	By AH Equity Partners III, L.L.C. (3)	
Class A Common	07/29/2016		<u>J(1)</u>	V	405	A	\$0	172,051	I	By The Andreessen	

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Stock									1996 Living Trust <u>(4)</u>
Class A Common Stock	07/29/2016	J <u>(5)</u>	V	56,735	D	\$ 0	0	I	By AH Parallel Fund III, L.P., As Nominee (6)
Class A Common Stock	07/29/2016	J <u>(5)</u>	V	557	A	\$ 0	557	I	By AH Equity Partners III (Parallel), L.L.C. (7)
Class A Common Stock	07/29/2016	J <u>(5)</u>	V	279	A	\$ 0	172,330	I	By The Andreessen 1996 Living Trust (4)
Class A Common Stock	07/29/2016	J <u>(8)</u>	V	826	D	\$ 0	0	I	By AH Equity Partners III, L.L.C. (3)
Class A Common Stock	07/29/2016	J <u>(8)</u>	V	15	A	\$ 0	15	I	By AH Capital Management, L.L.C. (9)
Class A Common Stock	07/29/2016	J <u>(8)</u>	V	180	A	\$ 0	172,510	I	By The Andreessen 1996 Living Trust (4)
Class A Common Stock	07/29/2016	J <u>(10)</u>	V	557	D	\$ 0	0	I	By AH Equity Partners III (Parallel), L.L.C. (7)
Class A Common Stock	07/29/2016	J <u>(10)</u>	V	10	A	\$ 0	25	I	By AH Capital Management, L.L.C. (9)
Class A Common Stock	07/29/2016	J <u>(10)</u>	V	122	A	\$ 0	172,632	I	By The Andreessen 1996 Living Trust (4)
Class A Common Stock	07/29/2016	J <u>(11)</u>	V	10	D	\$0	15	I	By AH Capital Management, L.L.C. (9)
Class A Common Stock	08/02/2016	S		15	D	\$ 124.06	0	I	By AH Capital Management, L.L.C. (9)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	1		(Instr.	3 and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	T:41-	or Namel		
						Exercisable Date	Title	Number			
				C 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

Andreessen Marc L C/O ANDREESSEN HOROWITZ 2865 SAND HILL RD., STE. 101 MENLO PARK, CA 94025

X

### **Signatures**

/s/ Michael Johnson as attorney-in-fact for Marc L. Andreessen

08/02/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by Andreessen Horowitz Fund **(1)** III, L.P., as nominee ("AH Fund III") to the general and limited partners or members and assignees of the funds for which it acts as nominee without consideration.

The reporting person is one of the Managing Members of AH Equity Partners III, L.L.C. ("AHEP III"), which is the General Partner of AH Fund III, and may be deemed to share voting and investment power over the securities held by AH Fund III. The reporting person

disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

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- (3) The reporting person is one of the Managing Members of AHEP III, and may be deemed to share voting and investment power over the securities held by AHEP III. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (4) The reporting person and JP Morgan Chase Bank, N.A. (successor-in-interest to J.P. Morgan Trust Company, N.A.) are the trustees of The Andreessen 1996 Living Trust.
- The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AH Parallel Fund III, L.P., as nominee ("AHPF III") to the general and limited partners or members and assignees of the funds for which it acts as nominee without consideration.
  - The reporting person is one of the Managing Members of AH Equity Partners III (Parallel), L.L.C. ("AHEP III (Parallel)"), which is the General Partner of AHPF III, and may be deemed to share voting and investment power over the securities held by AHPF III. The
- (6) reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- The reporting person is one of the Managing Members of AHEP III (Parallel), and may be deemed to share voting and investment power over the securities held by AHEP III (Parallel). The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (8) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AHEP III to its members and assignees without consideration.
- (9) The reporting person is one of the Managing Members of AH Capital Management, L.L.C. ("AHCM"), and may be deemed to share voting and investment power over the securities held by AHCM. The reporting person disclaims beneficial ownership over such securities except to the extent of his pecuniary interest therein. The inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.
- (10) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AHEP III (Parallel) to its members and assignees without consideration.
- (11) The shares reported on this form represent pro rata distributions, and not a purchase or sale, of securities by AHCM to its members and assignees without consideration.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.