

Unum Group  
Form 4  
February 23, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WATJEN THOMAS R**

(Last) (First) (Middle)

**1 FOUNTAIN SQUARE**

(Street)

**CHATTANOOGA, TN 37402**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**Unum Group [UNM]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**02/19/2016**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/19/2016		F	253 <sup>(1)</sup>	D \$ 27.52 230,135 <sup>(2)</sup> <sup>(3)</sup>	D	
Common Stock	02/19/2016		M	134.792	A \$ 0 230,270 <sup>(4)</sup>	D	
Common Stock	02/19/2016		D	134.792	D \$ 27.52 230,135 <sup>(2)</sup>	D	
Common Stock	02/19/2016		M	202.017	A \$ 0 230,337 <sup>(5)</sup>	D	
Common Stock	02/19/2016		D	202.017	D \$ 27.52 230,135 <sup>(2)</sup>	D	
	02/19/2016		M	300.426	A \$ 0 230,436 <sup>(6)</sup>	D	

# Edgar Filing: Unum Group - Form 4

Common  
Stock

Common Stock      02/19/2016      D      300.426      D      \$ 27.52      230,135 <sup>(2)</sup>      D

Common Stock      13,959.495      I      By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(7)</u>	02/19/2016		M		134.792	<u>(8)</u>	<u>(9)</u>	<u>(9)</u>	Common Stock	134.792 <u>(8)</u>
Restricted Stock Units	<u>(7)</u>	02/19/2016		M		202.017	<u>(10)</u>	<u>(11)</u>	<u>(11)</u>	Common Stock	202.017 <u>(10)</u>
Restricted Stock Units	<u>(7)</u>	02/19/2016		M		300.426	<u>(12)</u>	<u>(13)</u>	<u>(13)</u>	Common Stock	300.426 <u>(12)</u>

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WATJEN THOMAS R 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402	X			

## Signatures

/s/ Jullienne, J. Paul,  
Attorney-in-Fact

02/23/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 637,235 stock-settled RSUs (as defined in footnote (2) below).
- (2) Includes 4,075 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 226,060 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (3) Beneficial ownership amount accounts for the exempt acquisition of an aggregate of 664,449 stock-settled RSUs pursuant to the reinvestment of dividends since the date of the reporting person's prior Form 4.
- (4) Includes 4,075 stock-settled RSUs and 226,195 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (5) Includes 4,075 stock-settled RSUs and 226,262 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (6) Includes 4,075 stock-settled RSUs and 226,360 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (7) The restricted stock units may be settled solely in cash ("cash-settled RSUs"). Each cash-settled RSU is the economic equivalent of one share of common stock of the issuer.
- (8) Represents the remaining balance of the cash-settled RSUs granted on February 21, 2013 (the "2013 cash-settled RSUs"), all of which were acquired pursuant to the reinvestment of dividends on February 19, 2016 and are being settled as a result of the reporting person's retirement as an officer of the company.
- (9) The 2013 cash-settled RSUs vest in three equal annual installments beginning on February 21, 2014. Vested units are to be settled within 30 days after the vesting date.
- (10) Represents the remaining balance of the cash-settled RSUs granted on February 25, 2014 (the "2014 cash-settled RSUs"), all of which were acquired pursuant to the reinvestment of dividends on February 19, 2016 and are being settled as a result of the reporting person's retirement as an officer of the company.
- (11) The 2014 cash-settled RSUs vest in three equal annual installments beginning on February 25, 2015. Vested units are to be settled within 30 days after the vesting date.
- (12) Represents the remaining balance of the cash-settled RSUs granted on February 24, 2015 (the "2015 cash-settled RSUs"), all of which were acquired pursuant to the reinvestment of dividends on February 19, 2016 and are being settled as a result of the reporting person's retirement as an officer of the company.
- (13) The 2015 cash-settled RSUs vest in three equal annual installments beginning on February 24, 2016. Vested units are to be settled within 30 days after the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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