

Unum Group
Form 4
February 11, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WATJEN THOMAS R

(Last) (First) (Middle)
1 FOUNTAIN SQUARE
(Street)
CHATTANOOGA, TN 37402

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
Unum Group [UNM]

3. Date of Earliest Transaction
(Month/Day/Year)
02/09/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or (D) Price		
Common Stock	02/09/2016		F		7,941 ⁽¹⁾ D \$ 26.17	259,323 ⁽²⁾ ₍₃₎	D
Common Stock	02/09/2016		F		11,901 ⁽⁴⁾ D \$ 26.17	247,422 ⁽⁵⁾	D
Common Stock	02/09/2016		F		17,698 ⁽⁶⁾ D \$ 26.17	229,724 ⁽⁷⁾	D
Common Stock	02/09/2016		M		20,051.26 A \$ 0	249,775 ⁽⁸⁾	D
Common Stock	02/09/2016		D		20,051.26 D \$ 26.17	229,724 ⁽⁷⁾	D
	02/09/2016		M		30,051.342 A \$ 0	259,775 ⁽⁹⁾	D

Edgar Filing: Unum Group - Form 4

Common Stock									
Common Stock	02/09/2016		D	30,051.342	D	\$ 26.17	229,724 ⁽⁷⁾	D	
Common Stock	02/09/2016		M	44,690.363	A	\$ 0	274,414 ⁽¹⁰⁾	D	
Common Stock	02/09/2016		D	44,690.363	D	\$ 26.17	229,724 ⁽⁷⁾	D	
Common Stock							13,977.8433	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	⁽¹¹⁾	02/09/2016		M		⁽¹³⁾	⁽¹³⁾	Common Stock	20,051 ⁽¹²⁾
Restricted Stock Units	⁽¹¹⁾	02/09/2016		M		⁽¹⁶⁾	⁽¹⁶⁾	Common Stock	30,051 ⁽¹⁵⁾
Restricted Stock Units	⁽¹¹⁾	02/09/2016		M		⁽¹⁹⁾	⁽¹⁹⁾	Common Stock	44,690 ⁽¹⁸⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WATJEN THOMAS R 1 FOUNTAIN SQUARE	X			

CHATTANOOGA, TN 37402

Signatures

/s/ Jullienne, J. Paul,
Attorney-in-Fact

02/11/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 20,051.260 stock-settled RSUs (as defined in footnote (2) below).
- (2) Includes 78,790 restricted stock units, which may be settled, on a 1-for-1 basis, only in shares of common stock ("stock-settled RSUs"), and 180,533 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (3) Beneficial ownership amount accounts for the exempt acquisition of an aggregate of 490.165 stock-settled RSUs pursuant to the reinvestment of dividends since the date of the reporting person's prior Form 4.
- (4) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 30,051.342 stock-settled RSUs.
- (5) Includes 48,739 stock-settled RSUs and 198,683 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (6) Shares withheld to satisfy tax withholding obligation applicable to the vesting of 44,690.363 stock-settled RSUs.
- (7) Includes 4,048 stock-settled RSUs and 225,676 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (8) Includes 4,048 stock-settled RSUs and 245,727 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (9) Includes 4,048 stock-settled RSUs and 255,727 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (10) Includes 4,048 stock-settled RSUs and 270,366 shares of common stock. Fractional amounts have been rounded to the nearest whole number.
- (11) The restricted stock units may be settled solely in cash ("cash-settled RSUs"). Each cash-settled RSU is the economic equivalent of one share of common stock of the issuer.
- (12) Represents the remaining balance of the cash-settled RSUs granted on February 21, 2013 (the "2013 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (13) The 2013 cash-settled RSUs vest in three equal annual installments beginning on February 21, 2014. Vested units are to be settled within 30 days after the vesting date.

Beneficial ownership amount accounts for the exempt acquisitions of a total of 303.465 dividend equivalent rights on the 2013 cash-settled RSUs pursuant to the reinvestment of dividends after February 21, 2015. The rights accrued when and as dividends were paid on shares underlying the 2013 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2013 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (15) Represents the remaining balance of the cash-settled RSUs granted on February 25, 2014 (the "2014 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (16) The 2014 cash-settled RSUs vest in three equal annual installments beginning on February 25, 2015. Vested units are to be settled within 30 days after the vesting date.

Beneficial ownership amount accounts for the exempt acquisitions of a total of 454.810 dividend equivalent rights on the 2014 cash-settled RSUs pursuant to the reinvestment of dividends after February 25, 2015. The rights accrued when and as dividends were paid on shares underlying the 2014 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2014 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (17)

Edgar Filing: Unum Group - Form 4

- (18) Represents the remaining balance of the cash-settled RSUs granted on February 24, 2015 (the "2015 cash-settled RSUs"), which are being settled as a result of the reporting person's retirement as an officer of the company.
- (19) The 2015 cash-settled RSUs vest in three equal annual installments beginning on February 24, 2016. Vested units are to be settled within 30 days after the vesting date.
- Beneficial ownership amount accounts for the exempt acquisitions of a total of 676.363 dividend equivalent rights on the 2015 cash-settled RSUs pursuant to the reinvestment of dividends after February 24, 2015. The rights accrued when and as dividends were paid on shares underlying the 2015 cash-settled RSUs and became vested proportionately with and subject to settlement upon the same terms as the 2015 cash-settled RSUs to which they related. Each dividend equivalent right is the economic equivalent of one share of common stock of the issuer.
- (20)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.