

FIDELITY SOUTHERN CORP  
 Form 5  
 February 01, 2016

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 MILLER JAMES B JR

2. Issuer Name and Ticker or Trading Symbol  
 FIDELITY SOUTHERN CORP  
 [LION]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
 Chairman / Executive Officer

3490 PIEDMONT ROAD, SUITE 1550

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

ATLANTA, GA 30305

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)              | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|--|--|--|
| Fidelity Southern Corporation - Common Stock | 12/31/2015                           | Â  | J                              | 23.1613 <sup>(1)</sup> D  | \$ 0 <sub>(1)</sub> 857  | I  | By 401(k)                                  |
| Fidelity Southern Corporation - Common       | 12/31/2015                           | Â  | J                              | 234.7714 <sub>(2)</sub> A   | \$ 0 <sub>(2)</sub> 12,456.3455  | I  | By Grandchild - N.p. Miller                |

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Stock

|  |            |   |   |                   |   |             |              |   |                                   |
|--|------------|---|---|-------------------|---|-------------|--------------|---|-----------------------------------|
| Fidelity Southern Corporation - Common Stock | 12/31/2015 | Â | J | 4,880.5332<br>(2) | A | \$ 0<br>(2) | 233,573.3574 | I | By Shares Held By Ltd Partnership |
|--|------------|---|---|-------------------|---|-------------|--------------|---|-----------------------------------|

|  |            |   |   |                   |   |             |              |   |                          |
|--|------------|---|---|-------------------|---|-------------|--------------|---|--------------------------|
| Fidelity Southern Corporation - Common Stock | 12/31/2015 | Â | J | 2,440.8599<br>(2) | A | \$ 0<br>(2) | 106,654.5982 | I | By Trust - Spouse Estate |
|--|------------|---|---|-------------------|---|-------------|--------------|---|--------------------------|

|  |   |   |   |   |   |   |           |   |   |
|--|---|---|---|---|---|---|-----------|---|---|
| Fidelity Southern Corporation - Common Stock | Â | Â | Â | Â | Â | Â | 2,642,166 | D | Â |
|--|---|---|---|---|---|---|-----------|---|---|

|  |   |   |   |   |   |   |            |   |                   |
|--|---|---|---|---|---|---|------------|---|-------------------|
| Fidelity Southern Corporation - Common Stock | Â | Â | Â | Â | Â | Â | 247,671.74 | I | By Trust - Family |
|--|---|---|---|---|---|---|------------|---|-------------------|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  |                                | (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Stock Option (Right to Buy)                | \$ 9   | Â                                    | Â  | Â                              | Â   | 12/21/2013 <sup>(3)</sup> 12/21/2017                     | Fidelity Southern Corporation - Common Stock 50,000           |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships                       |                                     |  |                   |
|--|-------------------------------------|-------------------------------------|--|-------------------|
|  | Director                            | 10% Owner                           | Officer                                      | Other             |
| MILLER JAMES B JR<br>3490 PIEDMONT ROAD<br>SUITE 1550<br>ATLANTA, GA 30305 | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> | <input checked="" type="checkbox"/> Chairman | Executive Officer |

## Signatures

|   |            |
|---|------------|
| Barbara McNeill, Attorney in Fact for James B.<br>Miller, Jr. | 02/01/2016 |
| **Signature of Reporting Person                               | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reallocation of 401(k) assets during the reporting year
  - (2) Reinvested cash dividend shares received during the reporting year.
  - (3) 16,667 on 12/21/2013; 16,666 on 12/21/2014; 16,666 on 12/21/2015

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.