HOLOGIC INC Form 3 June 26, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement HOLOGIC INC [HOLX] À Oberton Karleen Marie (Month/Day/Year) 06/17/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 35 CROSBY DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person BEDFORD, MAÂ 01730 (give title below) (specify below) Form filed by More than One Corp. VP, Finance & Acconting Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) 0 D Â Common Stock Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisab	le and	3. Title and A	mount of	4.	5.	6. Nature of
(Instr. 4)	Expiration Date	Securities Underlying		Conversion	Ownership	Indirect Beneficial	
	(Month/Day/Year)	onth/Day/Year)	Derivative Security		or Exercise	Form of	Ownership
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount on	Derivative	Security:	
				Amount or Number of	Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Non-qualified Stock Option (Right to Buy)	05/15/2007(1)	05/15/2016	Common Stock	30,000	\$ 21.89	D	Â
Non-qualified Stock Option (Right to Buy)	11/11/2010(2)	11/11/2016	Common Stock	8,455	\$ 15.75	D	Â
Non-qualified Stock Option (Right to Buy)	11/10/2011(3)	11/10/2017	Common Stock	12,237	\$ 16.82	D	Â
Non-qualified Stock Option (Right to Buy)	11/09/2012(4)	11/09/2018	Common Stock	16,805	\$ 17.09	D	Â
Non-qualified Stock Option (Right to Buy)	11/14/2013(5)	11/14/2019	Common Stock	19,985	\$ 19.86	D	Â
Non-qualified Stock Option (Right to Buy)	11/18/2014(6)	11/18/2020	Common Stock	17,291	\$ 21.45	D	Â
Non-qualified Stock Option (Right to Buy)	05/26/2015 <u>(7)</u>	05/26/2021	Common Stock	5,973	\$ 23.82	D	Â
Restricted Stock Unit Award (Right To Receive)	11/10/2011	(8)	Common Stock	1,858	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/09/2012	(8)	Common Stock	4,023	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/14/2013	(8)	Common Stock	5,382	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/18/2014	(8)	Common Stock	6,060	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	05/26/2015	(8)	Common Stock	2,099	\$ 0	D	Â
Restricted Stock Unit Award (Right To Receive)	11/18/2016	(8)	Common Stock	4,195	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Oberton Karleen Marie 35 CROSBY DRIVE BEDFORD, MA 01730	Â	Â	Corp. VP, Finance & Acconting	Â	

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Signatures

/s/ Mark J. Casey, Attorney-in-fact for Karleen M. Oberton

06/26/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, issued pursuant to the Second Amended and Restated 1999 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning May 15, 2007.
- (2) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 11, 2010.
- (3) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 10, 2011.
- (4) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 9, 2012.
- (5) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 14, 2013.
- (6) The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning November 18, 2014.
- The option, issued pursuant to the 2008 Equity Incentive Plan, becomes exercisable in five equal annual installments beginning May 26,
- (8) These shares represent restricted stock units (RSUs) awarded to the Reporting Person pursuant to the 2008 Equity Incentive Plan and are subject to vesting as provided in the agreement evidencing the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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