

NACCO INDUSTRIES INC
Form 5
February 14, 2008

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 300

(Street)

CLEVELAND, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2007

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO / Group Member

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Amount	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/23/2007	02/23/2007	G	1,804	D	\$ 0	425	I	By Trust (CLTR) ⁽¹⁾	
Class A Common Stock	02/23/2007	02/23/2007	G	82	A	\$ 0	20,443	I	By Spouse/Trust ⁽²⁾	
	02/23/2007	02/23/2007	G	82	A	\$ 0	38,599	I		

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Class A Common Stock									By Trust/Daughter 1 <u>(3)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	256	A	\$ 0	5,910	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	256	A	\$ 0	5,910	I	By Assoc II/Daughter 2 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	4,180	D	\$ 0	4,684	I	By Assoc II <u>(5)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	128	A	\$ 0	6,038	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	02/23/2007	02/23/2007	G	128	A	\$ 0	6,038	I	By Assoc II/Daughter 2 <u>(4)</u>
Class A Common Stock	02/26/2007	02/26/2007	G	82	D	\$ 0	343	I	By Trust (CLTR) <u>(1)</u>
Class A Common Stock	02/27/2007	02/27/2007	G	82	D	\$ 0	261	I	By Trust (CLTR) <u>(1)</u>
Class A Common Stock	08/23/2007	08/23/2007	G	39	A	\$ 0	2,696	I	By RA4 <u>(6)</u>
Class A Common Stock	08/23/2007	08/23/2007	G	39	A	\$ 0	15,704	I	By RA4 (BTR) <u>(7)</u>
Class A Common Stock	02/12/2008	Â	A4 ⁽¹²⁾	9,185	A	\$ 0	144,630	I	By Trust (AMR) <u>(11)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	28,000	I	By Trust 3 (Grandchildren) <u>(13)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	38,599	I	By Trust/Daughter 2 <u>(3)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,000	I	By Trust 4 (Charities) <u>(14)</u>
Class A Common	Â	Â	Â	Â	Â	Â	26,608	I	By Trust 2 (SR) <u>(15)</u>

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Stock									
Class A Common Stock	Â	Â	Â	Â	Â	Â	30,000	I	By Trust (Unitrust) ⁽¹⁶⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	14,000	I	By IRA ⁽¹⁷⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By RMI (Delaware) ⁽¹⁸⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II/Spouse ⁽¹⁹⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP ⁽²⁰⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	28,521	I	By Trust (AssocII-BTR) GRAT08 ⁽²¹⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	4,678	I	By Trust CTR/GRAT08 ⁽⁹⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	24,056	I	By Trust CTR (RA4) GRAT08
Class A Common Stock	Â	Â	Â	Â	Â	Â	30,497	I	By Assoc II/GRAT 1
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,500	I	By Trust CTR/GRAT09
Class A Common Stock	Â	Â	Â	Â	Â	Â	31,479	I	By Trust (AssocII-BTR) GRAT09
Class A Common Stock	Â	Â	Â	Â	Â	Â	54,459	I	By Trust CTR (RA4) GRAT09

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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- (6) Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
(BTR RAIIV) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P., which is held in a trust for the benefit of Bruce T. Rankin. Reporting Person serves as the Trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (7) N/A
- (8) Represents shares which are held in a qualified annuity interest trust for the benefit of Clara LT Rankin.
- (9) Transfer
- (10) Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
- (11) Shares of Class A Common Stock awarded to the Reporting Person under the Company's Executive Long-Term Incentive Compensation Plan.
- (12) Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren. Each trust owns 4,800 shares. Reporting Person disclaims beneficial ownership of all such shares.
- (13) Reporting Person serves as Trustee of Irrevocable Trust u/a/d 9/22/88, for the Benefit of Charities for a term of 20 years and then to grantor's grandchildren. Reporting Person disclaims beneficial ownership of all such shares.-----
- (14) Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (15) Reporting Person serves as Trustee of the Clara T. Rankin Remainder Unitrust#2 u/a/d 1/5/77. Reporting Person disclaims beneficial ownership of all such shares.
- (16) Held in an Individual Retirement Account for the benefit of the Reporting Person.
- (17) Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates II, L.P. held by Rankin Management, Inc. ("RMI"), as general partner.
- (18) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P. Reporting Person disclaims beneficial ownership of all such shares.
- (19) (GP) Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (20) Represents the proportionate limited partnership interest in Rankin Associates II, which is held in a qualified annuity interest trust for the benefit of Bruce T. Rankin.

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Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

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