### Edgar Filing: Colony Capital, Inc. - Form 4

Colony Capi	ital, Inc.										
Form 4 January 05, 2	2016										
									OMB AF	PROVAL	
FORM	<b>4</b> UNITED	STATES S		ITIES A hington,			NGE C	OMMISSION	OMB Number:	3235-0287	
Check th			VV CLO		D.C. 20.	<b>7</b>				January 31,	
if no long subject to Section 1 Form 4 c Form 5 obligatio may cont See Instr	o STATEN 16. or Filed pur <sup>nns</sup> Section 17(	suant to Se a) of the Pu	ction 10 iblic Ut	<b>SECUR</b> 6(a) of the ility Hold	ITIES e Securiti ling Com	ies Ez ipany	LOWNERSHIP OF Exchange Act of 1934, y Act of 1935 or Section et of 1940				
1(b). (Print or Type l	Responses)										
1. Name and Address of Reporting Person <u>*</u> Traenkle Kevin P.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Colony Capital, Inc. [CLNY]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I		•	Earliest Tr	-	-		(Check	all applicable	)	
C/O COLO	NY CAPITAL, II R ST., 44TH FLC	NC., 515 (	Month/D )1/04/2(	ay/Year)				Director X Officer (give below) Exec. Dir(		Owner er (specify al Est	
				endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Aca	uired, Disposed of,	or Beneficial	v Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		d Date, if	3. Transactio Code (Instr. 8) Code V	4. Securiti	ies Ac sposed	quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Natur Ownership Indirect Form: Direct Benefic (D) or Owners Indirect (I) (Instr. 4 (Instr. 4)		
Class A Common Stock	01/04/2016			A <u>(1)</u>	47,142	(D) A	\$ 0	240,869	D		
Class A Common Stock	01/04/2016			F <u>(2)</u>	13,967	D	\$ 19.48	226,902	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price o Derivativ Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
OP Units	<u>(4)</u>					(4)	(4)	Class A Common Stock	552,049	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Traenkle Kevin P. C/O COLONY CAPITAL, INC. 515 S. FLOWER ST., 44TH FLOOR LOS ANGELES, CA 90071			Exec. DirCIO Global Real Est			
Signatures						
/s/ David A. Palame, as Attorney-in-fact	01	/05/2016				
**Signature of Reporting Person		Date				

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The acquisition represents the receipt of Class A common stock granted by the Issuer for services provided by Mr. Traenkle to the Issuer.
- (2) The shares were withheld by the Issuer in satisfaction of withholding taxes incurred in connection with the vesting of certain shares of Class A common stock acquired through prior grants.

These units are held by limited liability companies controlled by Thomas J. Barrack, Jr. and were acquired in connection with the issuer's acquisition of substantially all of its former manager's real estate and investment management business and operations. The amount

- (3) represents the portion of the units allocated to the reporting person with respect to which, subject to certain vesting and other conditions, the reporting person has the right to require from time to time the redemption thereof and distribution to the reporting person of the Class A common stock or cash received upon such redemption. The OP Units do not have expiration dates.
- (4) The OP Units are redeemable for shares of Class A Common Stock or cash at the discretion of the Issuer. The OP Units do not have expiration dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

### **Reporting Owners**