## Edgar Filing: McCormack Pamela - Form 4

| McCormack<br>Form 4   | Pamela                                  |   |  |   |                   |  |  |   |   |                      |  |
|---|---|---|--|---|-------------------|--|--|---|---|----------------------|--|
| February 20,  | 2019                                    |   |  |   |                   |  |  |   |   |                      |  |
| FORM  | <b>14</b> UNITED S                      | STATES  |  |   |                   |  | GE C   | OMMISSION   | OMB AF<br>OMB<br>Number:  | PROVAL<br>3235-0287  |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 o<br>Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b). | 6.<br>r<br>Filed pur<br>inue.           | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940 |  |   |                   |  |  |   | Expires:January 31,<br>2005Estimated average<br>burden hours per<br>response0.5 |                      |  |
| (Print or Type I  | Responses)                              |   |  |   |                   |  |  |   |   |                      |  |
| McCormack Pamela Symbol   |   |   | Symbol   | uer Name <b>and</b> Ticker or Trading<br>ol<br>er Capital Corp [LADR] |                   |  |  | 5. Relationship of Reporting Person(s) to Issuer  |   |                      |  |
| (Last)  | (First) (N                              | Aiddle)   |  | Earliest Tra  | 1 -               | KJ   | (Check all applicable)   |   |   |                      |  |
| C/O LADD  | ER CAPITAL<br>PARK AVE, 8TH             |   | (Month/D<br>02/18/20   | ay/Year)  | ansaction         |  |  | Director<br>X Officer (give<br>below)   |   | Owner<br>er (specify |  |
|   |   |   |  | nendment, Date Original<br>onth/Day/Year)                             |                   |  |  | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |                      |  |
| NEW YOR   | K, NY 10154                             |   |  |   |                   |  |  | Form filed by M<br>Person   | lore than One Re  | porting              |  |
| (City)  | (State)                                 | (Zip)   | Tabl   | e I - Non-D   | erivative Se      | curiti   | es Acqu  | uired, Disposed of  | , or Beneficial   | ly Owned             |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deen<br>Execution<br>any<br>(Month/D  | ed 3. 4. Securities Acquired<br>Date, if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>ay/Year) (Instr. 8)<br>(A)<br>or |   | of (D)            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |   |   |                      |  |
| Class A<br>Common<br>Stock  | 02/18/2019                              |   |  | Code V<br>A   | Amount<br>136,674 | (D)<br>A   | Price<br>\$ 0  | 577,644 <u>(1)</u>  | D   |                      |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: McCormack Pamela - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.                 | 6. Date Exer | cisable and | 7. Tit       | le and       | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|--------------------|--------------|-------------|--------------|--------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transact   | ionNumber          | Expiration D | ate         | Amou         | unt of       | Derivative  |
| Security    | or Exercise |                     | any                | Code       | of                 | (Month/Day/  | Year)       | Unde         | rlying       | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative         | e            |             | Secur        | rities       | (Instr. 5)  |
|             | Derivative  |                     |                    |            | Securities         |              |             | (Instr       | . 3 and 4)   |             |
|             | Security    |                     |                    |            | Acquired           |              |             |              |              |             |
|             |             |                     |                    |            | (A) or             |              |             |              |              |             |
|             |             |                     |                    |            | Disposed           |              |             |              |              |             |
|             |             |                     |                    |            | of (D)             |              |             |              |              |             |
|             |             |                     |                    |            | (Instr. 3,         |              |             |              |              |             |
|             |             |                     |                    |            | 4, and 5)          |              |             |              |              |             |
|             |             |                     |                    |            |                    |              |             |              |              |             |
|             |             |                     |                    |            |                    |              |             |              | Amount       |             |
|             |             |                     |                    |            |                    | Date         | Expiration  | <b>T</b> . 1 | or<br>N 1    |             |
|             |             |                     |                    |            |                    | Exercisable  | Date        | Title        | Number       |             |
|             |             |                     |                    | Code V     | $(\mathbf{A})$ (D) |              |             |              | of<br>Shaara |             |
|             |             |                     |                    | Code V     | (A) (D)            |              |             |              | Shares       |             |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |           |         |  |  |  |  |
|---|---------------|-----------|-----------|---------|--|--|--|--|
|   | Director      | 10% Owner | Officer   | Other   |  |  |  |  |
| McCormack Pamela<br>C/O LADDER CAPITAL CORP<br>345 PARK AVE, 8TH FL<br>NEW YORK, NY 10154 |               |           | President |         |  |  |  |  |
| Signatures  |               |           |           |         |  |  |  |  |
| /s/ Michelle Wallach, as Attorney-<br>McCormack   | in-Fact fo    | or Pamela |           | 02/20/2 |  |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 1, 2018, Ladder Capital Corp ("Ladder") declared a dividend payable in a combination of cash and Class A Common Stock
 (1) to all holders of record of Ladder's Class A Common Stock on December 10, 2018. Total includes shares of Class A Common Stock received as a result of this dividend payment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

2

9. Nu Deriv Secu: Bene Own Follo Repo Trans (Instr

/20/2019 Date