TEKLA HEALTHCARE INVESTORS Form N-PX August 30, 2017

UNITED STATES SECURITIES AND EXCHANGE Expires: March 31, 2018 **COMMISSION**

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Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number 811-04889

Tekla Healthcare Investors

(Exact name of registrant as specified in charter)

100 Federal Street, 19th Floor, Boston, MA

02110

(Address of principal executive offices)

(Zip code)

Laura Woodward

Tekla Healthcare Investors

100 Federal Street, 19th Floor, Boston MA 02110

(Name and address of agent for service)

Registrant s telephone number, including area code: 617-772-8500

Date of fiscal year end: September 30

Date of reporting period: 7/1/16-6/30/17

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss.239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant s proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget (OMB) control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

Item 1. Proxy Voting Record.

Vote Summary

ABBOTT LABORATORIES

Security002824100Meeting TypeAnnualTicker SymbolABTMeeting Date28-Apr-2017Record Date01-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		G
	1 R.J. ALPERN		For	For
	2 R.S. AUSTIN		For	For
	3 S.E. BLOUNT		For	For
	4 E.M. LIDDY		For	For
	5 N. MCKINSTRY		For	For
	6 P.N. NOVAKOVIC		For	For
	7 W.A. OSBORN		For	For
	8 S.C. SCOTT III		For	For
	9 D.J. STARKS		For	For
	10 G.F. TILTON		For	For
	11 M.D. WHITE		For	For
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS.	Management	For	For
3.	SAY ON PAY - AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	SAY WHEN ON PAY - AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	1 Year	For
5.	APPROVAL OF THE ABBOTT LABORATORIES 2017 INCENTIVE STOCK PROGRAM	Management	For	For
6.	APPROVAL OF THE ABBOTT LABORATORIES 2017 EMPLOYEE STOCK PURCHASE PLAN FOR NON-U.S. EMPLOYEES.	Management	For	For
7.	SHAREHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For

AKORN, INC.

Security009728106Meeting TypeSpecialTicker SymbolAKRXMeeting Date16-Dec-2016Record Date28-Oct-2016

		Proposed		For/Against
Item	Proposal	by	Vote	Mgmt
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016	Management	For	For
	EMPLOYEE STOCK PURCHASE PLAN.			
2.		Management	For	For

PROPOSAL TO APPROVE THE AMENDMENT AND RESTATEMENT OF THE AKORN, INC. 2014 STOCK OPTION PLAN.

AKORN, INC.

Security009728106Meeting TypeAnnualTicker SymbolAKRXMeeting Date27-Apr-2017Record Date13-Mar-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	DIRECTOR	Management		9 ·
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	PROPOSAL TO APPROVE THE 2017 OMNIBUS INCENTIVE COMPENSATION PLAN.	Management	For	For
4.	PROPOSAL TO APPROVE, THROUGH A NON-BINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY VOTES ON THE COMPANY S EXECUTIVE COMPENSATION PROGRAMS.	Management	1 Year	For
5.	PROPOSAL TO APPROVE, THROUGH A NON-BINDING ADVISORY VOTE, THE COMPANY S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY S 2017 PROXY STATEMENT.	Management	For	For

AKORN, INC.

Security009728106Meeting TypeSpecialTicker SymbolAKRXMeeting Date19-Jul-2017Record Date09-Jun-2017

Item	Proposal	Proposed by	Vote	For/Against Mgmt
1.	TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF APRIL 24, 2017, BY AND AMONG FRESENIUS KABI AG, QUERCUS ACQUISITION, INC., AKORN, INC. AND, SOLELY FOR PURPOSES OF ARTICLE VIII THEREIN, FRESENIUS SE & CO. KGAA.	Management	For	For
2.		Management	For	For

	TO APPROVE, BY NON-BINDING, ADVISORY VOTE, THE COMPENSATION THAT MAY BE PAID OR MAY BECOME PAYABLE TO AKORN, INC. S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH, OR FOLLOWING, THE CONSUMMATION OF THE MERGER CONTEMPLATED BY THE AGREEMENT AND PLAN OF MERGER.			
3.	TO APPROVE THE ADJOURNMENT OF THE SPECIAL MEETING TO A LATER DATE OR TIME, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IN THE EVENT THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING OR ANY ADJOURNMENT OR POSTPONEMENT THEREOF TO ADOPT THE AGREEMENT AND PLAN OF MERGER.	Management	For	For