PENNYMAC FINANCIAL SERVICES, INC. Form 3 May 09, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> MCCALLION ANNE			Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol PENNYMAC FINANCIAL SERVICES, INC. [PFSI]				
(Last) (Fi	irst)	(Middle)	05/09/2013		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
C/O PENNYMAC FINANCIAL SERVICES, INC., 6101 CONDOR DRIVE (Street)					(Check all applicable) <u></u> Director10% Owner <u></u> OfficerOther (give title below) (specify below) Chief Financial Officer		Owner r ow)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting	
MOORPARK,Â	CAÂ 93	3021						Person Form filed by More than One Reporting Person	
(City) (St	ate)	(Zip)		Table I - N	lon-Deriva	tive Securiti	ies Be	neficially Owned	
1.Title of Security (Instr. 4)				2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
Class B Common	n Stock			1		D	Â		
Reminder: Report or owned directly or inc	directly. Persons informa required	s who resp tion conta d to respoi	oond to the co ined in this fo nd unless the	ollection of orm are not form displa		SEC 1473 (7-02	2)		
Table			IB control nu ities Beneficial		g., puts, calls	s, warrants, opt	tions, c	onvertible securities)	

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

### Edgar Filing: PENNYMAC FINANCIAL SERVICES, INC. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Cl A Units of Private Nat'l Mortgage Acceptance Co., LLC (1)	(1)	(1)	Class A Common Stock (1)	791,120	\$ <u>(1)</u>	D	Â

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships					
		10% Owner	Officer	Other			
MCCALLION ANNE C/O PENNYMAC FINANCIAL SERVICES, INC. 6101 CONDOR DRIVE MOORPARK, CA 93021	Â	Â	Chief Financial Officer	Â			
Signatures							
/s/ Jeffrey P. Grogin, 05/09/2013	3						

attorney-in-fact	03/09/201
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the terms of an exchange agreement, Class A Units of Private National Mortgage Acceptance Company, LLC are (1) exchangeable for shares of Class A Common Stock of PennyMac Financial Services, Inc. on a one-for-one basis, subject to customary

#### conversion rate adjustments, from and after the closing of PennyMac Financial Services, Inc.'s initial public offering.

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#### **Remarks:** Exhibit 24.1 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.