

REGENERON PHARMACEUTICALS INC  
Form SC 13G  
September 12, 2003

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**UNITED STATES  
SECURITIES AND EXCHANGE  
COMMISSION**  
Washington, D.C. 20549  
**SCHEDULE 13G**

OMB APPROVAL  
OMB Number:  
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Under the Securities Exchange Act of 1934  
(Amendment No. )\*

**Regeneron Pharmaceuticals, Inc.**

(Name of Issuer)

**COMMON STOCK, \$.001 PAR VALUE**

(Title of Class of Securities)

**75886F107**

(CUSIP Number)

**September 5, 2003**

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

## Edgar Filing: REGENERON PHARMACEUTICALS INC - Form SC 13G

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 ( Act ) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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CUSIP No.

1. **Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only)**  
Aventis Pharmaceuticals Inc. 13-2563649

2. **Check the Appropriate Box if a Member of a Group (See Instructions)**

(a)

(b)

3. **SEC Use Only**

4. **Citizenship or Place of Organization**  
Delaware

5. **Sole Voting Power**  
2,799,552 (1)

**Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With**

6. **Shared Voting Power**  
0

7. **Sole Dispositive Power**  
2,799,552 (1)

8. **Shared Dispositive Power**  
0

9. **Aggregate Amount Beneficially Owned by Each Reporting Person**  
2,799,552 (1)

10. **Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)**

11. **Percent of Class Represented by Amount in Row (9)**  
5.3% (3)

12. **Type of Reporting Person (See Instructions)**  
CO

1. **Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only)**  
Aventis Holdings Inc. 51-0414396

2. **Check the Appropriate Box if a Member of a Group (See Instructions)**

(a)

(b)

3. **SEC Use Only**

4. **Citizenship or Place of Organization**  
Delaware

**Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With**

5. **Sole Voting Power**  
2,799,552 (2)

6. **Shared Voting Power**  
0

7. **Sole Dispositive Power**  
2,799,552 (2)

8. **Shared Dispositive Power**  
0

9. **Aggregate Amount Beneficially Owned by Each Reporting Person**  
2,799,552 (2)

10. **Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)**

11. **Percent of Class Represented by Amount in Row (9)**  
5.3% (3)

12. **Type of Reporting Person (See Instructions)**  
CO

1. **Names of Reporting Persons. I.R.S. Identification Nos. of above persons (entities only)**  
Aventis Inc. 23-1699163

2. **Check the Appropriate Box if a Member of a Group (See Instructions)**

(a)

(b)

3. **SEC Use Only**

4. **Citizenship or Place of Organization**

Pennsylvania

**Number of  
Shares  
Beneficially  
Owned by  
Each  
Reporting  
Person With**

5. **Sole Voting Power**  
2,799,552 (2)

6. **Shared Voting Power**  
0

7. **Sole Dispositive Power**  
2,799,552 (2)

8. **Shared Dispositive Power**  
0

9. **Aggregate Amount Beneficially Owned by Each Reporting Person**

2,799,552 (2)

10. **Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)**

11. **Percent of Class Represented by Amount in Row (9)**

5.3% (3)

12. **Type of Reporting Person (See Instructions)**

CO

- 
- (1) Represents direct ownership of shares of Common Stock.
- (2) Represents indirect ownership of shares of Common Stock held by Aventis Pharmaceuticals Inc., a wholly owned subsidiary of Aventis Holdings Inc., which is a wholly owned subsidiary of Aventis Inc.
- (3) Beneficial ownership percentages set forth herein assume that as of the date of this report there were 52,510,572 shares of Common Stock (not including the Class A Common Stock).

**Item 1.**

- (a) **Name of Issuer**  
Regeneron Pharmaceuticals Inc.
- (b) **Address of Issuer's Principal Executive Offices**  
777 Old Saw Mill River Road  
Tarrytown, NY 10591-6707

**Item 2.**

- (a) **Name of Person Filing**
- (b) **Address of Principal Business Office or, if none, Residence**
- (c) **Citizenship**

(a) - (c) This Schedule is filed on behalf of Aventis Pharmaceuticals Inc. ( API ) with a principal place of business and principal office located at 300 Somerset Corporate Boulevard, Bridgewater, New Jersey 08807. API is an indirect subsidiary of Aventis S.A., a French corporation ( Aventis ) headquartered in Strasbourg, France.

This Schedule also is filed on behalf of Aventis Holdings Inc., a Delaware corporation ( AHI ), which owns a 100% of the equity of API. AHI also is an indirect subsidiary of Aventis. The principal place of business and principal office of AHI is 3711 Kenneth Pike, Suite 200 Greenville, Delaware 19801. AHI is filing this Schedule solely with respect to its potential deemed indirect ownership of the Issuer's stock owned by API.

This Schedule also is filed on behalf of Aventis Inc., a Pennsylvania corporation ( AI ), which owns 100% of the equity of AHI. 100% of the equity of AI is held by Aventis, a portion of which is held directly and a portion of which is held indirectly. The principal place of business and principal office of AI is 300 Somerset Corporate Boulevard, Bridgewater, New Jersey 08807. AI is filing this Schedule solely with respect to its potential deemed indirect ownership of the Issuer's stock owned by API.

- (d) **Title of Class of Securities**  
Common Stock, \$.001
- (e) **CUSIP Number**  
75886F107

**Item 3.**

**If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:**  
Not Applicable

- (a)  **Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).**
- (b)  **Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).**
- (c)  **Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).**
- (d)  **Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).**
- (e)  **An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);**
- (f)  **An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);**
- (g)  **A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);**
- (h)  **A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);**
- (i)  **A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);**
- (j)  **Group, in accordance with §240.13d-1(b)(1)(ii)(J).**





**Item 4. Ownership**

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

- (a) **Amount beneficially owned:** 2,799,552 Shares Of Common Stock.
- (b) **Percent of class:** 5.3%
- (c) **Number of shares as to which the person has:**
  - (i) **Sole power to vote or to direct the vote** 2,799,552
  - (ii) **Shared power to vote or to direct the vote** 0
  - (iii) **Sole power to dispose or to direct the disposition of** 2,799,552
  - (iv) **Shared power to dispose or to direct the disposition of** 0

**Item 5. Ownership of Five Percent or Less of a Class**

Not Applicable

**Item 6. Ownership of More than Five Percent on Behalf of Another Person**

Not Applicable

**Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person**

Not Applicable

**Item 8. Identification and Classification of Members of the Group**

Not Applicable

**Item 9. Notice of Dissolution of Group**

Not Applicable

**Item 10. Certification**

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

AVENTIS PHARMACEUTICALS INC.

Date: September 12, 2003 By: /s/ Pascal Soriot  
Pascal Soriot  
President

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

AVENTIS HOLDINGS INC.

Date: September 12, 2003 By: /s/ Joseph M. Palladino  
Joseph M. Palladino  
President

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

AVENTIS INC.

Date: September 12, 2003 By: /s/ Gerald P. Belle  
Gerald P. Belle  
President

The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and title of each person who signs the statement shall be typed or printed beneath his signature.

Attention: Intentional misstatements or omissions of fact constitute Federal criminal violations (See 18 U.S.C. 1001).