#### Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 3

#### CHARTER COMMUNICATIONS, INC. /MO/

Form 3

January 26, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CHARTER COMMUNICATIONS, INC. /MO/ [CHTR] DiGeronimo Richard J (Month/Day/Year) 01/22/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CHARTER (Check all applicable) COMMUNICATIONS, INC.. 400 ATLANTIC 10% Owner Director STREET \_X\_\_ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group EVP, Product and Strategy Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person STAMFORD, CTÂ 06901 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Class A Common Stock 1,424 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

6. Nature of Indirect 1. Title of Derivative 3. Title and Amount of 2. Date Exercisable and Securities Underlying Security **Expiration Date** Beneficial Conversion Ownership (Month/Day/Year) **Derivative Security** (Instr. 4) or Exercise Form of Ownership

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|                                       | Date Exercisable      | Expiration<br>Date | (Instr. 4) Title           | Amount or<br>Number of<br>Shares | Price of<br>Derivative<br>Security | Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | (Instr. 5) |
|---------------------------------------|-----------------------|--------------------|----------------------------|----------------------------------|------------------------------------|---|------------|
| Stock Options                         | (1)                   | 04/26/2021         | Class A<br>Common<br>Stock | 15,000                           | \$ 55.12                           | D   | Â          |
| Restricted Stock Units                | (2)                   | (3)                | Class A<br>Common<br>Stock | 5,334                            | \$ 0 (3)                           | D   | Â          |
| Stock Options (3-year Cliff)          | 01/15/2017 <u>(4)</u> | 01/15/2024         | Class A<br>Common<br>Stock | 9,262                            | \$ 136.425                         | D   | Â          |
| Restricted Stock Units (3-year Cliff) | 01/15/2017(5)         | (3)                | Class A<br>Common<br>Stock | 934                              | \$ 0 (3)                           | D   | Â          |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                           |       |  |  |
|---|---------------|-----------|---------------------------|-------|--|--|
|   | Director      | 10% Owner | Officer                   | Other |  |  |
| DiGeronimo Richard J<br>C/O CHARTER COMMUNICATIONS, INC.<br>400 ATLANTIC STREET<br>STAMFORD, CT 06901 | Â             | Â         | EVP, Product and Strategy | Â     |  |  |

### **Signatures**

/s/Richard J.
DeGeronimo
01/25/2015

\*\*Signature of Reporting
Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 15,000 stock options granted on 4/26/2011 under the 2009 Stock Incentive Plan; 5,000 of which are vested and exercisable with 10,000 stock options remaining for future vestings as follows: 2,500 will vest and become exercisable on 4/26/2015; 5,000 will vest and become exercisable on 12/31/2016; and 2,500 will vest and become exercisable on 12/31/2016.
- (2) Includes 5,334 restricted stock units granted on 4/26/2011 under the 2009 Stock Incentive Plan vesting as follows: 1,334 will vest on 4/26/2015; 2,666 will vest on 12/31/2015; and 1,334 will vest on 12/31/2016.
- (3) Not applicable.

Stock Options granted on January 15, 2014 under the Charter Communications, Inc. 2009 Stock Incentive Plan; 100% of the grant will (4) vest on the third anniversary of the grant date, January 15, 2017. Such options will terminate 10 years from date of grant unless terminated sooner in accordance with the plan or grant agreement.

**(5)** 

Reporting Owners 2

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Restricted Stock Units granted on January 15, 2014 under the Charter Communications, Inc. 2009 Stock Incentive Plan; 100% of the grant will vest on the third anniversary of the grant date, January 15, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.