Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

CHARTER COMMUNICATIONS, INC. /MO/ Form 4 November 05, 2013

	05, 2015										
FOR			GEOL	DITIES				OMMERION		APPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
	this box			Expires:	January 31,						
if no lo subject		5 STATEMENT OF CHANGES IN BENEFICIAL OWNER								2005 average	
Section Form 4				SECU	RITIES				burden hours per		
Form 5	Filed pu	irsuant to S	Section	16(a) of 1	the Secur	ities	Exchange	Act of 1934,	response	0.5	
obligat may co <i>See</i> Ins 1(b).	ions Section 17	(a) of the	Public 1	Utility Ho	olding Co	mpai	•	1935 or Section	1		
(Print or Type	e Responses)										
1. Name and Address of Reporting Person [*]				ier Name a i	nd Ticker o	or Trac	8	5. Relationship of Reporting Person(s) to			
Winfrey C	hristopher L		Symbol					Issuer			
	CHARTER COMMUNICATIONS, INC. /MO/ [CHTR]					(Check all applicable)					
(Last)	(First)	(Middle)	3. Date	of Earliest	Transaction	n		Director		% Owner	
C/O CHA	RTER		(Month 11/01/	/Day/Year) /2013				X Officer (give below)	below)		
	NICATIONS, IN	C., 400	11/01/	2013				EVP/Chie	ef Financial C	officer	
	IC STREET, 10T	Н									
FLOOR											
				nendment,]	-	nal		6. Individual or Joint/Group Filing(Check			
			Filed(M	Ionth/Day/Ye	ear)			Applicable Line) _X_ Form filed by C			
STAMFO	RD, CT 06901							Form filed by M Person	lore than One F	Reporting	
(City)	(State)	(Zip)	Та	ble I - Non	-Derivativ	e Secu	ırities Acqu	iired, Disposed of	, or Beneficia	ally Owned	
1.Title of	2. Transaction Date			3.			quired (A)	5. Amount of	6.	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution 1 any	Date, if	Code	otor Dispos (Instr. 3, 4				1	Indirect Beneficial	
		(Month/Da	y/Year)	(Instr. 8)					Direct (D)	-	
						(A)		Following Reported	or Indirect (I)	(Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Class A				0000	11,798	(12)	\$				
Common Stock	11/01/2013			F	(<u>1</u>)	D	136.146 (2)	49,325	D		
Class A										By Atalaya	
Common Stock								55,000	Ι	Management, LLC	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Winfrey Christopher L C/O CHARTER COMMUNICATIONS, INC. 400 ATLANTIC STREET, 10TH FLOOR STAMFORD, CT 06901			EVP/Chief Financial Officer				
Signatures							
/s/Christopher L. Winfrey 11/04/2013							

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported restricted shares vested on November 1, 2013. Withholding of securities for the purpose of paying taxes.
- (2) Per-share value assigned to the shares withheld based on the average of the high and low trading prices on November 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.