Edgar Filing: POULSEN NIEL L - Form 4

POULSEN N Form 4 March 05, 20											
FORM	14								• · · · • · ·	PPROVAL	
	UNITED	STATES		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287	
Check this box if no longer									Expires:	January 31, 2005	
subject to Section 1) SIAIEN	MENT OF CHANGES IN BENEFICIAL OW SECURITIES						NERSHIP OF	Estimated a burden hou	average	
	Form 4 or						response 0.5				
Form 5 obligation								ge Act of 1934,			
may cont <i>See</i> Instru 1(b).	inue. Section 17(estment	•	• •		of 1935 or Sectio 40	n		
(Print or Type F	Responses)										
POULSEN NIEL L Symbol			Symbol					5. Relationship of Reporting Person(s) to Issuer			
			US CONCRETE INC [USCR]					(Check all applicable)			
(Last)	(First) (I			Earliest Tra	ansaction			Director	100	Quinon	
			03/01/20	h/Day/Year) /2019			Officer (give title Other (specify				
MAIN STREET							below) below) EVP - Southeast Division				
			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
			Filed(Mont	Filed(Month/Day/Year)							
EULESS, T	X 76039							_X_ Form filed by Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Dat			3.	4. Securi			5. Amount of	6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)		n Date, if	TransactionAcquired (A) or Code Disposed of (D)				Beneficially (Form: Direct (D) or	Indirect Beneficial	
(Instr. 5)		any (Month/D	(Month/Day/Year)		- · · · · · · · · · · · · · · · · · · ·					Ownership	
								Following Reported	(Instr. 4)	(Instr. 4)	
						(A)		Transaction(s)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common stock	03/01/2019			F	845	D	\$0	15,357	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted stock units	<u>(1)</u>	03/01/2019		А	8,300	(2)(3)	(2)(3)	Common stock	8,300	\$

Reporting Owners

Reporting Owner Name / Address			Relationships			
1	Director	10% Owner	Officer	Other		
POULSEN NIEL L C/O US CONCRETE, INC. 331 N. MAIN STREET EULESS, TX 76039			EVP - Southeast Division			
Signatures						
/s/ CiCi Sepehri, as Attorney-ir Poulsen	-Fact for	Niel L.	03/05/2019			
<u>**</u> Signature of Reporting	g Person		Date			

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit was granted from the U.S. Concrete, Inc. Long Term Incentive Plan and represents the right to receive one share of common stock upon vesting.

The Restricted Stock Units were granted on March 1, 2019 and will vest as follows: (i) 60% of the total number of the awarded shares are Time-Based and will become vested over three years in equal annual installments from the date of grant, and (ii) the remaining 40% of the awarded shares are Performance-Based, half (or 50%) of which shall vest on the day that the average of the daily volume-weighted

(2) average share price of the Company's stock over any period of 20 consecutive trading days attains \$45.90 per share within the three-year period beginning on the date of grant, and the remaining half of which shall vest on the day that the average of the daily volume-weighted average share price of the Company's stock over any period of 20 consecutive trading days attains \$49.90 per share within the three-year period beginning on the date of grant.

The Performance-Based portion of the Restricted Stock Units would result in vesting into additional shares if the average of the daily VWAP over any period of 20 consecutive trading days attains (i) \$54.10 per share (resulting in the vesting into an additional 1,660

(3) v with over any period of 20 consecutive mading days attains (i) 504.10 per share (resulting in the vesting into an additional 1,660 shares) within the three-year period from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.