

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/
Form 4
March 02, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
ROPER, HARTWELL H.
1501 NORTH HAMILTON STREET
RICHMOND, VA 23230
USA
2. Issuer Name and Ticker or Trading Symbol
UNIVERSAL CORPORATION
UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
02/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
() Director () 10% Owner (X) Officer (give title below) () Other
(specify below)
VICE PRESIDENT & CHIEF FINANCIAL OFFICER
7. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	2/13/01	G	506	D	\$36.00	60,852
Common Stock	2/8/01	M	5,053	A	\$28.5625	
Common Stock	2/8/01	S	5,053	D	\$34.92	
Common Stock						19,266
Common Stock						1,126
Common Stock						300

					total	81,544

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Put or Call
Options to buy Common Stock	\$28.5625	2/8/01	M	5,503	D 12/15/99 12/4/01	Common Stock (4)	

Explanation of Responses:

- (1) Number of shares held in the Stock Purchase Plan
 - (2) Gift to wife, Mr. Roper disclaims beneficial ownership
 - (3) Gift to daughter, Mr. Roper is custodian for the account
 - (4) Options issued under the Executive Stock Plan
- SIGNATURE OF REPORTING PERSON
Hartwell H. Roper
DATE
March 2, 2001