FARRER ROBERT

Form 4 May 10, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * **FARRER ROBERT**

(First) (Middle)

C/O QUAINT OAK BANCORP, INC., 501 KNOWLES AVENUE

(Street)

(State)

05/08/2013

2. Issuer Name and Ticker or Trading Symbol

QUAINT OAK BANCORP INC [NONE]

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

05/08/2013

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify X_ Officer (give title below)

Chief Risk Officer*

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SOUTHAMPTON, PA 18966

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A) Transaction(s) or (Instr. 3 and 4)

Price Code V Amount (D) 1,600

\$0 A $2,025 \stackrel{(2)}{=}$ D (1)

Common Stock

Common

Stock

3,245.453 (3)

By ESOP

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 16.2	05/08/2013		A	5,000	<u>(4)</u>	05/08/2023	Common Stock	5,000
Employee Stock Option (Right to Buy)	\$ 10					<u>(5)</u>	05/14/2018	Common Stock	1,465

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

FARRER ROBERT C/O QUAINT OAK BANCORP, INC. 501 KNOWLES AVENUE SOUTHAMPTON, PA 18966

Chief Risk Officer*

Signatures

/s/John J. Augustine by P.O.A. for Robert Farrer

05/10/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the grant of shares pursuant to the 2008 Recognition and Retention Plan that vest ratably over five years at 20% per year commencing on May 8, 2014.
- (2) Includes 118 shares held in the 2008 Recognition and Retention Plan Trust which reflect the unvested portion of a grant amount originally covering 586 shares that commenced vesting at a rate of 20% per year on May 14, 2009.

Reporting Owners 2

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- (3) Includes shares allocated to the reporting person's ESOP account since the last filed Form 4.
- (4) The options vest ratably over five years at 20% per year commencing on May 8, 2014.
- (5) The options are vesting at a rate of 20% per year commencing on May 14, 2009.

Remarks:

* Chief Risk Officer, Compliance Officer, Quality Control Officer, SAFE Act Officer and CRA Officer of Quaint Oak Bank Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.