

SUTRON CORP
Form 5
May 20, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting Person *
McQuivey Raul S

(Last) (First) (Middle)

22400 DAVIS DRIVE

(Street)

2. Issuer Name **and** Ticker or Trading
Symbol
SUTRON CORP [STRN]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/2012

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President, CEO

6. Individual or Joint/Group Reporting

(check applicable line)

STERLING, VA 20164

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount (A) or (D)	Price		
Common Stock	12/21/2012	VA	G4	10,000 D	\$ ⁽¹⁾ 882,686	I	By Raul S. McQuivey Trust dated 3/24/1999 ⁽²⁾
Common Stock	08/06/2012	VA	O4	110,000 A	\$ 0.55 882,686	D	VA

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title
Restricted Stock Unit (RSU)	Â	05/09/2012	Â	A4	6,000 Â	Â (4) Â (4)	Common Stock
Option	\$ 0.55	08/06/2012	Â	O4	Â 110,000	Â (6) 10/18/2012	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
McQuivey Raul S 22400 DAVIS DRIVE STERLING, VA 20164	Â X Â Â Chairman, President, CEO Â

Signatures

/s/ Raul S. McQuivey
05/20/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction amount represents a bona fide gift contribution to the Church of Jesus Christ of Latter Day Saints.
- (2) Mr. McQuivey holds 302,500 shares of Sutron Corp common stock through the Raul S. McQuivey Trust dated 3/24/1999 following the transaction reported in Table I.
- (3) Each restricted stock unit is the economic equivalent of one share of Sutron Corp common stock.
Represents the conversion upon vesting of Restricted Stock Units into common stock. On May 9, 2012, the reporting person was granted 6,000 Restricted Stock Units, of which two blocks of Restricted Stock Units representing 1/4 of the original May 9, 2012 grant each are eligible for vesting and conversion on January 1, 2013. The remaining two blocks of Restricted Stock Units representing 1/4 of the original May 9, 2012 grant each are eligible for vesting and conversion on January 1, 2014.
- (5) Figure represents 110,000 options granted on October 18, 2012, under the Issuer's 2002 Stock Option Plan and Stock Option Agreement, and 6,000 restricted stock units granted on May 9, 2012.

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- (6) Vests 22,000 shares (20%) in five installments on October 18, 2003, October 18, 2004, October 18, 2005, October 18, 2006, and October 18, 2007.
- (7) Options granted on October 18, 2002, under the Issuer's 2002 Stock Option Plan and Stock Option Agreement.
- (8) Figure represents 6,000 Restricted Stock Units granted on May 9, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.