GAGNON NEIL Form 3 August 14, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

owned directly or indirectly.

1 Name and Address of Departing

Person * State			Statement	. Date of Event Requiring tatement  Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol Amber Road, Inc. [AMBR]					
(Last)	(First)	(Middle)	08/09/2018	3	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)			
	(Street)				(Check all applicable)		)	6. Individual or Joint/Group Filing(Check Applicable Line)			
Â					Director Officer (give title below	X10% Other v) (specify below	r	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)		Table I - N	Non-Derivat	ive Securiti	ies Be	Beneficially Owned			
1.Title of Secu (Instr. 4)	ırity			2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•			
Common St	cock, \$0.00	1 par value	per share	487,158 <u>(1</u>	<u>)</u>	D	Â				
Common St	cock, \$0.00	1 par value	per share	671,219		I	Parti	Managing Member as General ner of Gagnon Investment ociates			
Common St	cock, \$0.00	1 par value	per share	102,589		I	_	Managing Member as General ner of Darwin Partnership			
Common St	cock, \$0.00	1 par value	per share	95,968		I		cimited Partner of the Family nership			
Common St	cock, \$0.00	1 par value	per share	20,600		I	•	elf as Trustee of Gagnon urities LLC Profit Sharing			
Reminder: Rep	oort on a sepa	rate line for e	ach class of sec	urities benefic	ially S	EC 1473 (7-02	2)				

Persons who respond to the collection of information contained in this form are not

SEC 1473 (7-02)

#### Edgar Filing: GAGNON NEIL - Form 3

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other			
GAGNON NEIL Â	Â	ÂX	Â	Â			

# **Signatures**

/s/ Neil Gagnon 08/14/2018

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Neil Gagnon serves as the Chief Executive Officer of Gagnon Advisors, LLC and is the managing member and principal owner of
Gagnon Securities LLC, each of which provide investment management services to investment vehicles and managed accounts
(collectively, the "Accounts"), and as such, has investment discretion with respect to the Accounts. Mr. Gagnon's interest in the securities reported herein is limited to the extent of his pecuniary interest in each of the Accounts, if any.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2