

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC  
 Form 5  
 February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.  
 See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or the  
 Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

|  |          |          |  |                             |                           |
|--|----------|----------|--|-----------------------------|---------------------------|
| 1. Name and Address of Reporting Person* |          |          | 2. Issuer Name and Ticker or Trading Symbol                      |                             | 6. Relationship to Issuer |
|  |          |          | Dunes Hotels and Casinos, Inc.                                   |                             | X Director                |
| (Last)                                   | (First)  | (Middle) | 3. IRS or Social Security Number of Reporting Person (Voluntary) | 4. Statement for Month/Year | 7. Other                  |
| Steckart,                                | James G. |          |  | 12/2001                     |                           |
| (Street)                                 |          |          | 5. If Amendment, Date of Original (Month/Year)                   |                             | 7. Indirect (Check box)   |
| 13436 N.E. 16th                          |          |          |  |                             | X                         |

(City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of or Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3 and 4)  
 Bellevue, Washington 98005

| 1. Title of Security (Instr. 3) | 2. Transaction date: (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3 and 4) |
|---------------------------------|---------------------------------------|--------------------------------|---|--|
|                                 |                                       |                                | Amount (A) or Price (D)   |  |

No securities were beneficially owned by the reporting person.

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\* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).  
 Reminder: Report on a separate line for each class of securities beneficially  
 owned directly or indirectly. (Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Inst. 3) | 2. Conver-<br>sion or<br>Exercise<br>Price of<br>Deriv-<br>ative<br>Security | 3. Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4. Transac-<br>tion Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date<br>Exercisable<br>and<br>Expiration<br>Date<br>(Month/Day/<br>Year) | 7. Title<br>and Amount<br>of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4) | 8. Price of<br>Derivativ<br>Security<br>(Instr. 5) |
|--|--|---|--|---|---|---|--|
|--|--|---|--|---|---|---|--|

| (A) | (D) | Date<br>Exer-<br>cisable | Expira-<br>tion Date | Title | Amount or<br>Number of<br>Shares |
|-----|-----|--------------------------|----------------------|-------|----------------------------------|
|-----|-----|--------------------------|----------------------|-------|----------------------------------|

Explanation of Responses:

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/s/ James G. Steckart

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James G. Steckart

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which  
must be manually signed. If space provided is  
insufficient, see Instruction 6 for procedure.