HAYDEN JEREMY B.

Form 4 January 02, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

2 MUSICK

(Print or Type Responses)

1. Name and Address of Reporting Person \* HAYDEN JEREMY B.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ENDOLOGIX INC /DE/ [ELGX]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

12/30/2018

General Counsel

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**IRVINE, CA 92618** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Month/Day/Year) (Instr. 3)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

5. Number of Transaction Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration

V (A) (D) Date Expiration Title Amount Exercisable Date Number

01/02/2019

Shares

Option to Purchase \$ 0.7445 | 12/30/2018 | A | 216,449 | 12/30/2019 | 12/30/2028 | Common Stock | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449 | 216,449

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HAYDEN JEREMY B. 2 MUSICK IRVINE, CA 92618

General Counsel

## **Signatures**

Jeremy Hayden by Timothy N. Brady, Attorney-in-Fact for Reporting Person

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 100% of the option award shall vest on December 30, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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