

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

SWISS HELVETIA FUND, INC.

Form NSAR-B

March 01, 2011

PAGE 1  
000 B000000 12/31/2010  
000 C000000 0000813623  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE SWISS HELVETIA FUND, INC.  
001 B000000 811-05128  
001 C000000 8887947700  
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10020  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 HOTTINGER CAPITAL CORP.  
008 B000001 A  
008 C000001 801-30025  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10020  
010 A000001 CITI FUND SERVICES OHIO, INC.  
010 B000001 085-11408  
010 C010001 COLUMBUS  
010 C020001 OH  
010 C030001 43219  
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY  
012 B000001 84-00416  
012 C010001 NEW YORK  
012 C020001 NY  
012 C030001 10038  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PHILADELPHIA  
013 B020001 PA  
013 B030001 19103  
014 A000001 HOTTINGER & CIE SA  
014 B000001 8-062959  
015 A000001 CITIBANK, N.A.  
015 B000001 C  
015 C010001 New York  
015 C020001 NY  
015 C030001 10043  
015 E040001 X  
PAGE 2  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 HELVEA INC  
020 C000001 139  
020 A000002 MERRILL LYNCH

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

020 B000002 13-3180818  
 020 C000002 134  
 020 A000003 NEUE ZURCHER BANK  
 020 C000003 126  
 020 A000004 Deutsche bank  
 020 B000004 14-348532  
 020 C000004 106  
 020 A000005 HOTTINGER & CIE  
 020 C000005 101  
 020 A000006 KEPLER EQUITIES  
 020 C000006 86  
 020 A000007 MORGAN STANLEY & CO INC.  
 020 B000007 13-2655998  
 020 C000007 78  
 020 A000008 VONTOBEL SECURITIES LTD  
 020 B000008 13-4350122  
 020 C000008 63  
 020 A000009 MAINFIRST BANK  
 020 C000009 54  
 020 A000010 BANK AM BELLEVUE  
 020 C000010 50  
 021 000000 1035  
 022 A000001 HOTTINGER & CIE  
 022 C000001 14122  
 022 D000001 0  
 022 A000002 CITIBANK, N.A.  
 022 B000002 13-2771536  
 022 C000002 6154  
 022 D000002 0  
 023 C000000 20276  
 023 D000000 0  
 024 000000 Y  
 025 A000001 UBS AG  
 025 B000001 13-3873456  
 025 C000001 E  
 025 D000001 15068  
 025 A000002 Credit Suisse  
 025 B000002 05-0546650  
 025 C000002 E  
 025 D000002 11680  
 025 A000003 Swissquote Group Holding SA  
 025 C000003 E  
 025 D000003 5463  
 026 A000000 N  
 026 B000000 Y  
 PAGE 3  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 Y  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 028 A010000 0  
 028 A020000 0  
 028 A030000 0  
 028 A040000 0  
 028 B010000 0  
 028 B020000 0  
 028 B030000 0  
 028 B040000 0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
	PAGE	4
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	60000
048	A020000	1.000
048	B010000	40000
048	B020000	0.900
048	C010000	100000
048	C020000	0.800
048	D010000	100000
048	D020000	0.700
048	E010000	100000
048	E020000	0.650
048	F010000	100000
048	F020000	0.600
048	G010000	100000
048	G020000	0.550
048	H010000	200000
048	H020000	0.500

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 800000  
 048 K020000 0.450  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 N  
 054 C000000 N  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 PAGE 5  
 054 O000000 N  
 055 A000000 N  
 055 B000000 N  
 056 000000 N  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 N  
 060 B000000 N  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 Y  
 066 D000000 N  
 066 E000000 N  
 066 F000000 N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 Y  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 Y  
 070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 N  
 070 E010000 N  
 070 E020000 N  
     PAGE 6  
 070 F010000 N  
 070 F020000 N  
 070 G010000 N  
 070 G020000 N  
 070 H010000 N  
 070 H020000 N  
 070 I010000 N  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 Y  
 070 K010000 Y  
 070 K020000 Y  
 070 L010000 Y  
 070 L020000 Y  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 Y  
 070 O020000 N  
 070 P010000 N  
 070 P020000 N  
 070 Q010000 N  
 070 Q020000 N  
 070 R010000 N  
 070 R020000 N  
 071 A000000 251059  
 071 B000000 263975  
 071 C000000 410766  
 071 D000000 61  
 072 A000000 12  
 072 B000000 405  
 072 C000000 8083  
 072 D000000 0  
 072 E000000 0  
 072 F000000 3231  
 072 G000000 298  
 072 H000000 0  
 072 I000000 25  
 072 J000000 86  
 072 K000000 0  
 072 L000000 145  
 072 M000000 551  
 072 N000000 0  
 072 O000000 0  
 072 P000000 0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

072 Q000000	114
072 R000000	50
072 S000000	901
072 T000000	0
072 U000000	0

PAGE 7

072 V000000	0
072 W000000	452
072 X000000	5853
072 Y000000	152
072 Z000000	2787
072AA000000	41380
072BB000000	0
072CC010000	28600
072CC020000	0
072DD010000	6888
072DD020000	0
072EE000000	8010
073 A010000	0.2300
073 A020000	0.0000
073 B000000	0.2600
073 C000000	0.0000
074 A000000	7626
074 B000000	0
074 C000000	0
074 D000000	8185
074 E000000	5651
074 F000000	450886
074 G000000	0
074 H000000	0
074 I000000	8846
074 J000000	439
074 K000000	0
074 L000000	2458
074 M000000	28
074 N000000	484119
074 O000000	1399
074 P000000	288
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	15123
074 S000000	0
074 T000000	467309
074 U010000	30309
074 U020000	0
074 V010000	15.42
074 V020000	0.00
074 W000000	0.0000
074 X000000	621
074 Y000000	0
075 A000000	0
075 B000000	424460
076 000000	13.54

077 A000000 Y

077 B000000 Y

PAGE 8

077 C000000	N
077 D000000	N
077 E000000	N
077 F000000	N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 A000000 IC1 Mutual Insurance Company  
080 C000000 900  
081 A000000 N  
081 B000000 0  
082 A000000 Y  
082 B000000 25  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 2103  
086 B020000 24485  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 THE SWISS HELVETIA FUND, INC.  
087 A020000 870875101  
087 A030000 SWZ  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE SCOTT RHODES  
TITLE ASSISTANT TREASURER